

DEPARTMENT OF BANKING
JOB OPPORTUNITY
FINANCIAL EXAMINER
CONSUMER CREDIT DIVISION

PLEASE FOLLOW THE SPECIFIC APPLICATION FILING INSTRUCTIONS AT THE BOTTOM OF THIS PAGE!

Open To: The Public
Location: Hartford, CT
Job Posting No: 112293
Hours: First shift, 40 hours per week
Salary: \$66,213
Closing Date: February 19, 2016

MINIMUM QUALIFICATIONS REQUIRED:

Knowledge, Skills and Abilities: Knowledge of the organization, operations and business practices of financial entities such as banks, credit unions, trust companies, broker-dealers, investment advisors, mortgage companies, and other non-bank grantors of credit; knowledge of principles and practices of accounting, auditing and finance; some knowledge of laws applicable to regulated entities; some knowledge of regulatory and investigative procedures and techniques; ability to interpret and apply applicable statutes and regulations; oral and written communication skills; interpersonal skills; ability to utilize computer software.

General Experience: Six years experience in the regulation of financial entities or in accounting, auditing, banking or finance.

Special Experience: Two years of the General Experience must have been at or above the paraprofessional level.

Substitution Allowed: College training, fifteen semester hours equaling one-half year of experience to a maximum of four years for a bachelors' degree; A masters degree in Business, Accounting, Finance or closely related field may be substituted for an additional year of the General Experience.

Special Requirement: Incumbent will be required to travel.

Duties: Conducts or assists in the conduct of examinations and investigations of domestic and international banks, credit unions, broker-dealers, investment advisors, mortgage companies, consumer lenders and other financial entities; assesses financial condition and/or regulatory compliance by reviewing financial and operational documentation, including financial statements and reports, loan files, investments, management policies, consumer complaints, risk management programs, advertising, licensing information and other records; researches and documents apparent violations of applicable laws and contraventions of policy; communicates with industry and department managers to review issues and findings; assists in enforcement activities such as drafting subpoenas and interviewing witnesses; provides guidance to public on industry regulatory requirements and business practices through consumer complaint resolution and outreach activities; reviews and makes decisions on non-routine license and registration applications; prepares exam and investigation reports and correspondence; may provide guidance to lower level staff regarding examining procedures and techniques; may work with prosecuting attorneys and testify with regard to examinations or investigations; performs related duties as required.

Note: The filling of this position will be in accordance with reemployment, SEBAC, transfer, promotion and merit employment rules, if applicable.

Application Instructions: Interested and qualified candidates who meet the above requirements should mail or hand-deliver an Application for Employment to:

Victoria Soucy
Dept. of Banking
260 Constitution Plaza
Hartford, CT 06103

AN AFFIRMATIVE ACTION/EQUAL OPPORTUNITY EMPLOYER

The State of Connecticut is an equal opportunity/affirmative action employer and strongly encourages the applications of women, minorities, and persons with disabilities.