State of Connecticut
EXAM ANNOUNCEMENT

AGENCY PROMOTIONAL EXAMINATION

DEPARTMENT OF BANKING

PRINCIPAL FINANCIAL EXAMINER

ANNUAL SALARY:	SALARY GROUP:	APPLICATION CLOSING DATE:	EXAM NO:
$77,057 – $98,612	AR 28	OCTOBER 22, 2012	121650APDM

SPECIAL EXAMINATION AND APPLICATION INSTRUCTIONS APPEAR BELOW

PURPOSE OF CLASS: In the Department of Banking this class is accountable for acting as a working supervisor for other examiners in the most difficult, complex and sensitive examinations and investigations of the financial entities regulated by the Department of Banking.

MINIMUM QUALIFICATIONS REQUIRED

THIS PROMOTIONAL EXAMINATION IS OPEN TO ANY **CURRENT** EMPLOYEE OF THE **DEPARTMENT OF BANKING** WHO BY **OCTOBER 22, 2012** HAS PERMANENT STATUS IN THE CLASSIFIED SERVICE*, SIX MONTHS SERVICE AT THE **DEPARTMENT OF BANKING,** AND THE FOLLOWING EXPERIENCE AND TRAINING:

GENERAL EXPERIENCE: Eight years of experience in the regulation of financial entities or in accounting, auditing, banking or finance. [Note: Financial entities are defined as domestic and international banks, credit unions, broker-dealers, investment advisers, mortgage companies and consumer lenders.]

SPECIAL EXPERIENCE: One year of the General Experience must have been in an advanced working level class performing the most difficult examinations and investigations of financial entities. [Note: For state employees this will be interpreted at the level of Associate Financial Examiner.]

SUBSTITUTIONS ALLOWED: (1) College training may be substituted for the General Experience on the basis of fifteen semester hours equaling one-half year of experience to a maximum of four years for a Bachelor's degree. (2) A Master's degree in Business, Accounting, Finance or closely related field may be substituted for one additional year of the General Experience. (3) Licensure or certification as a Certified Public Accountant may be substituted for one additional year of the General Experience.

SPECIAL REQUIREMENT: Incumbents in this class may be required to travel.

KNOWLEDGE, SKILLS AND ABILITIES: Considerable knowledge of the organization, operations and business practices of financial entities such as banks, credit unions, trust companies, broker-dealers, investment advisers, mortgage companies and other non-bank grantors of credit; considerable knowledge of statutes and regulations applicable to entities; considerable knowledge of principles and practices of accounting, auditing and finance; considerable knowledge of regulatory and investigative procedures and techniques; considerable oral and written communication skills; considerable interpersonal skills; some negotiation skill; some supervisory ability.

THE EXAMINATION WILL BE COMPOSED OF:	PART	WEIGHT
	EXPERIENCE AND TRAINING	100%

APPLICATION/EXAMINATION INSTRUCTIONS: In order to be considered for admittance into this examination, you must complete all parts of the examination application (CT-HR-12) detailing how you meet the minimum experience and training requirements stated above. Make certain your application form clearly details your employment history, including job titles, dates of employment, hours worked per week and actual job duties as well as college degrees earned and current licenses and certifications. **THIS MATERIAL WILL CONSTITUTE THE EXAMINATION FOR THIS CLASS. Your examination will only be scored if you meet the minimum experience and training requirements outlined above and your completed application form is date stamped by DAS/Human Resources or postmarked by October 22, 2012. A separate application form must be submitted for each exam you are applying for. Mail applications to DAS/Human Resources, Room 404, 165 Capitol Avenue, Hartford, CT. 06106-1658 (Secure Fax #860-622-2910).** If faxing materials make certain that your application form is complete and transmitted correctly and without error. Keep a copy of your completed application package and fax transmittal receipt for your records. Incomplete faxes or faxes received blank because pages were faxed upside down will not be accepted. Due to the large number of applications received, we cannot confirm receipt of applications. **Examination scores will be mailed by November 30, 2012.**

FORMS: Application forms (CT-HR-12) and exam announcements are available from the Department of Administrative Services (**http://das.ct.gov/employment**) and the Department of Banking.

**Employees in the unclassified service may be eligible to participate in promotional exams if they have previous continuous permanent status in the classified service and have current status as a state employee.*

6709 October 5, 2012

AN AFFIRMATIVE ACTION/EQUAL OPPORTUNITY EMPLOYER

The State of Connecticut is an equal opportunity/affirmative action employer and strongly encourages the applications of women, minorities, persons with disabilities, and military veterans.